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## Quotient space model based on algebraic structure<sup>®</sup>

Chen Linshu (陈林宇)②\*\*\*, Wang Jiayang\*, Li Li\*\*\*, Yang Zhenghua\*
(\*School of Information Science and Engineering, Central South University, Changsha 410083, P. R. China)
(\*\*School of Computer Science and Engineering, Hunan University of Science and Technology, Xiangtan 411201, P. R. China)
(\*\*Harbin Institute of Technology Shenzhen Graduate School, Shenzhen 518055, P. R. China)

#### **Abstract**

In the quotient space theory of granular computing, the universe structure is assumed to be a topology, therefore, its application is still limited. In this study, based on the quotient space model, the universe structure is assumed as an algebra instead of a topology. As to obtain the algebraic quotient operator, the granulation must be uniquely determined by a congruence relation, and all the congruence relations form a complete semi-order lattice, which is the theoretical basis of granularities' completeness. When the given equivalence relation is not a congruence relation, it defines the concepts of upper quotient and lower quotient, and discusses some of their properties which demonstrate that falsity preserving principle and truth preserving principle are still valid. Finally, it presents the algorithms and example of upper quotient and lower quotient. The work extends the quotient space theory from structure, and provides theoretical basis for the combination of the quotient space theory and the algebra theory.

**Key words:** granular computing, quotient space, congruence closure, quotient operation, upper (lower) quotient

### 0 Introduction

As an age-old concept first proposed in Ref. [1], granular computing attempts to establish a formal theory to simulate human intelligence. It is a superset of fuzzy set, interval analysis, rough set, quotient space, etc., and its goal is to establish general theories and methods of solving granular problems<sup>[2-4]</sup>. The unified framework of granular computing has not been formed so far, and scholars at home and abroad have respectively established their own granular computing models from different views, which are systematically described in literatures<sup>[5-17]</sup>.

The quotient space theory is a main and most important granular computing model proposed in Ref. [18], which believes that people can observe and analyze a problem in different granularities by human intelligence, and a granularity coincides exactly with a partition in mathematics, so it uses an equivalence relation (corresponding to a partition) to describe a problem's granularity [18]. In the quotient space theory, a problem is described as a tuple (X, f, T), namely original space, where X is the set of the discussing

objects, namely the universe, f is the attribute function of X, and T is the structure of X, namely universe structure or the interrelation of elements. Let R be an equivalence relation on (X, f, T), a quotient set [X] = X/R of X will be got then corresponding tuple ([X], [f], [T] is called a quotient space of (X, f, T). The core of the quotient space theory is to get different granularities' descriptions and properties of universe, function and structure, and to study their interrelation and interconversion. There are two basic and very important conclusions in the quotient space theory: All the different granularities form a complete semi-order lattice, which provides theoretical basis for transformation, decomposition and composition among different granularities. The granularities' transformation keeps important characteristics—falsity preserving principle and truth preserving principle, which can greatly increase problem solving speed<sup>[2,18,19]</sup>.

A significant difference from other granular computing models is that the quotient space theory has introduced the universe structure, which is more powerful to describe and solve problems. When Zhang, et al. proposed the quotient space theory, they assumed that the universe structure was a topology, and did

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much corresponding research, and successfully applied the model to problems solving of motion planning, temporal planning, etc. [18]. In fact, algebra is a quite important mathematical structure such as linear space, group, ring, field and lattice, and is widely used not only in mathematical fields like theories of number and category but also in other fields such as atomic physics, system engineering. In computer and information science, algebra has become a basic tool for scientific and technical personnels<sup>[11,20,21]</sup>. Wang, et al. develop the granular algebra theory, by which large-scale granular systems with complex architectures and functions can be systematically designed and analyzed<sup>[22-24]</sup>. Then, if the universe structure becomes an algebra instead of a topology, there is a problem that whether the two basic conclusions are still valid. That is to say, whether there still exists the completeness of all granularities and the characteristics preserving in granularities' transformation.

In this study, it is supposed that the universe structure is an algebra, the concepts of congruence closure, upper quotient and lower quotient are introduced and then the completeness of all granularities, the characteristics preserving of granularities' transformation, properties of upper/lower quotient, etc are demonstrated. The paper is organized as follows: Section 1 presents the concepts of congruence relation and congruence closure, and discusses their properties. Section 2 defines quotient operator, discusses the existing condition of quotient operator, and demonstrates that all the congruence relations form a complete semi-order lattice. Section 3 defines upper quotient and lower quotient, and discusses some of their important properties. Section 4 gives out the algorithm of upper/lower quotient. Conclusions are given in Section 5.

## 1 Congruence relation and congruence closure

Congruence relation and its properties are the theoretical basis of this work, and the concept of closure is widely used in mathematics. In this study, in order to discuss the existing condition of quotient operator and the existence of upper/lower quotient more easily in the viewpoint of relation, the concept of congruence closure is especially introduced. This section mainly focuses on the definitions and properties of congruence relation and congruence closure.

**Definition 1** Let  $(X, \circ)$  be an algebra, where X is a universe,  $\circ$  is a binary operator,  $a,b,c \in X$ , and R be an equivalence relation on  $(X, \circ)$ . Then [a] is defined as a partition block of R including a. If R re-

mains replaceable property under  $\circ$ , then R is defined as a congruence relation of  $\circ$  on  $(X, \circ)$ , and C(R) is defined as all the congruence relations of  $\circ$  on  $(X, \circ)$ . Here the replaceable property means, if [a] = [b], then for  $\forall c \in X$ , there exists  $[a \circ c] = [b \circ c]$ ,  $[c \circ a] = [c \circ b]$ .

In an algebraic system, if there is more than one operator, it only needs to let each operator remain a replaceable property.

According to the definition of congruence relation and related knowledge, the following two conclusions<sup>[21]</sup> can be easily got. Proof is omitted.

**Theorem 1** Let R be an equivalence relation on algebra  $(X, \circ)$  and  $\forall a, b, c, d \in X$ . Then R is a congruence relation if and only if  $[a] = [b], [c] = [d] \rightarrow [a \circ c] = [b \circ d]$ .

**Lemma 1** On algebra  $(X, \circ)$ , universal equivalence relation E and identity equivalence relation I must be a congruence relation.

Let  $\Re$  be all the equivalence relations on algebra  $(X, \circ)$ , and set  $\{R_{\alpha}\}_{\alpha} \subseteq \Re$ , from the properties of equivalence relation, the following is  $\operatorname{got}: 1) \cap_{\alpha} R_{\alpha} \in \Re$ , that is, the intersection of finite equivalence relations is still an equivalence relation. 2)  $t(\bigcup_{\alpha} R_{\alpha}) \in \Re$ , that is, the transitive closure of the union of finite equivalence relations is still an equivalence relation. However, there exists a problem whether congruence relation also has the above properties.

**Theorem 2** Let  $\{R_{\alpha}\}_{\alpha} \subseteq C(\mathfrak{R})$  be a non empty set of congruence relations on algebra  $(X, \circ)$ , then  $\bigcap_{\alpha} R_{\alpha} \in C(\mathfrak{R})$ , which means, the intersection of finite congruence relations is still a congruence relation.

Proof: Let  $R^* = \bigcap_{\alpha} R_{\alpha}$ .  $\forall x \in X$ ,  $[x]_{R^*}$   $= \bigcap_{\alpha} [x]_{R_{\alpha}}$  is proved first. Then, for  $\forall y \in [x]_{R^*}$ ,  $(x,y) \in \mathfrak{R}^*$ , thus  $\forall \alpha$ ,  $(x,y) \in \mathfrak{R}_{\alpha}$ , and so  $y \in \bigcap_{\alpha} [x]_{R_{\alpha}}$ . On the other hand, for  $\forall y \in \bigcap_{\alpha} [x]_{R_{\alpha}}$ ,  $\forall \alpha, y \in [x]_{R_{\alpha}}$ , thus  $\forall \alpha, (x,y) \in R_{\alpha}$ , that is,  $(x,y) \in R^*$  or  $y \in [x]_{R^*}$ . Therefore,  $\forall x \in X, [x]_{R^*} = \bigcap_{\alpha} [x]_{R_{\alpha}}$ .

Then it is proved  $R^*$  is a congruence relation. Clearly  $\forall \alpha, R_\alpha \supseteq R^*$ , so  $[x]_{R_\alpha} \supseteq [x]_{R^*}$ . Now let  $[a]_{R^*} = [b]_{R^*}$ ,  $[c]_{R^*} = [d]_{R^*}$ , then for  $\forall \alpha$ ,  $[a]_{R_\alpha} = [b]_{R_\alpha}$ ,  $[c]_{R_\alpha} = [d]_{R_\alpha}$ . And it is also known  $R_\alpha$  is a congruence relation and  $[x]_{R^*} = \bigcap_\alpha [x]_{R_\alpha}$ , so  $[a \circ c]_{R^*} = \bigcap_\alpha [a \circ c]_{R_\alpha} = \bigcap_\alpha [b \circ d]_{R_\alpha} = [b \circ d]_{R^*}$ . Therefore,  $R^*$  is a congruence relation. Based on the above analysis, the theorem has been proved.

Theorem 2 is proved by Theorem 1. In the following Theorem 3 will be proved by Definition 1, Previously Lemma 2 will be introduced, which shows that

element's transitivity is also replaceable under operator
•. Lemma 2 can be easily proved by the definition of
equivalence relation, and its proof is omitted.

**Lemma 2** Let R be an equivalence relation of set X,  $a,b,c \in X$  and (a,c),  $(c,b) \in R$ . Then for  $\forall x \in X$ , if  $(x \circ a, x \circ c) \in R$ ,  $(x \circ c, x \circ b) \in R$ ,  $(a \circ x, c \circ x) \in R$ ,  $(c \circ x, b \circ x) \in R$ , there exists  $(x \circ a, x \circ b) \in R$ ,  $(a \circ x, b \circ x) \in R$ .

**Theorem 3** Let  $\{R_\alpha\}_\alpha\subseteq C(R)$  be a non empty set of congruence relations on algebra  $(X,\,\circ\,)$ , then  $t(\,\cup_\alpha R_\alpha)\in C(R)$ , which means, the transitive closure of the union of finite congruence relations is still a congruence relation.

Proof: For  $\forall x_1, x_2 \in t(\bigcup_{\alpha} R_{\alpha})$ ,  $t(\bigcup_{\alpha} R_{\alpha})$  is an transitive closure of  $\bigcup_{\alpha} R_{\alpha}$ , so two cases exist:

 $\begin{array}{ccccc} (1) & (x_1,x_2) & \in \bigcup_{\alpha} R_{\alpha}. & \text{In this case, } & \exists \, R_{\alpha_0} \in \\ \{R_{\alpha}\}_{\alpha}, & \text{where } (x_1,x_2) \in R_{\alpha_0} . & \text{For } R_{\alpha_0} \in C(\Re) \,, \text{ so} \\ \forall \, x \in X, \, (x \circ x_1, x \circ x_2) \,, (x_1 \circ x, x_2 \circ x) \in R_{\alpha_0} \subseteq \\ t(\, \bigcup_{\alpha} R_{\alpha}). \end{array}$ 

 $\begin{array}{l} (2\ ) \quad (x_1,x_2) \quad \not\in \cup_{\alpha} R_{\alpha}\,, \quad \text{but} \quad (x_1,x_2) \quad \in \\ t(\cup_{\alpha} R_{\alpha}). \quad \text{In this case, by the definition of transitive} \\ \text{closure, } \exists \, y_1 = x_1\,,\, y_2\,,\cdots,\, y_m = x_2 \in X, \text{ where } (\,y_i\,,\, y_{i+1}\,) \in \cup_{\alpha} R_{\alpha}\,,\, i = 1\,,2\,,\cdots,m-1. \quad \text{So for } \forall\, i\,,\, \exists\, R_i \in \{R_{\alpha}\}_{\alpha}\,,\, \text{where } \forall\, x \in X, (x\circ y_i,x\circ y_{i+1})\,, (y_i\circ x\,,\, y_{i+1}\circ x) \in t(\cup_{\alpha} R_{\alpha}). \quad \text{By Lemma 2, } \forall\, x \in X, (x\circ x_1\,,x\circ x_2)\,, (x_1\circ x\,,x_2\circ x) \in t(\cup_{\alpha} R_{\alpha}). \quad \text{Based on the above analysis, } t(\cup_{\alpha} R_{\alpha}) \quad \text{is a congruence relation.} \end{array}$ 

The definition and some important properties of congruence closure are given below.

**Definition 2** Let R be an equivalence relation on algebra  $(X, \circ)$ , if there exists a congruence relation  $c(R) \supseteq R$ , and for any congruence relation  $R' \supseteq R$ , there exists  $c(R) \subseteq R'$ , then c(R) is defined as a congruence closure of R.

To sum up in a word, the congruence closure of equivalence relation R is exactly the smallest one of the congruence relations which include R. Some important properties of the congruence closure is given below. Just as every binary relation has its equivalence closure, every equivalence relation also has its congruence closure, the following Lemma 3 shows the conclusion.

**Lemma 3** Every equivalence relation on algebra  $(X, \circ)$  has its congruence closure

Proof: Let R be an equivalence relation on algebra  $(X, \circ)$ ,  $\{R_{\alpha}\}_{\alpha}$  be all the congruence relations including R on algebra  $(X, \circ)$ , and let  $R^* = \bigcap_{\alpha} R_{\alpha}$ . Clearly universal equivalence relation  $E \supseteq R$ , and E is a congruence relation, thus  $\{R_{\alpha}\}_{\alpha} \neq \Phi$ . Meanwhile, for  $\forall \alpha, R^* \subseteq R_{\alpha}$ , so if  $c(R) = R^*$  is wanted, it is only needed to prove  $R^*$  is a congruence relation. By Theo-

rem 2. 2,  $R^*$  is a congruence relation. Therefore,  $c(R) = R^*$ .

By the definition of congruence closure and Lemma 3 Lemma 4 can be got easily, proof is omitted.

**Lemma 4** Let R be an equivalence relation on algebra  $(X, \circ)$ , then  $c(R) = \bigcap_{R \subseteq R_{\alpha} \in C(R)} R_{\alpha}$ .

**Theorem 4** Let R be an equivalence relation on algebra  $(X, \circ)$ , R is a congruence relation if and only if c(R) = R.

Proof: If c(R) = R, obviously R is a congruence relation. On the other hand, if R is a congruence relation, and  $\{R_{\alpha}\}_{\alpha}$  are all the congruence relations including R on algebra  $(X, \circ)$ , then  $R \in \{R_{\alpha}\}_{\alpha}$ , thus  $R \supseteq \bigcap_{\alpha} R_{\alpha} = c(R)$ . And by Definition  $2 R \subseteq c(R)$ , therefore c(R) = R.

**Theorem 5** Let  $R_1$ ,  $R_2$  be equivalence relations on algebra  $(X, \circ)$ , if  $R_1 \subseteq R_2$ , then  $c(R_1) \subseteq c(R_2)$ .

Proof:  $R_1 \subseteq R_2$ , and by definition  $2 R_2 \subseteq c(R_2)$ , thus,  $R_1 \subseteq c(R_2)$ . Clearly  $c(R_2)$  is a congruence relation, so by the minimality of congruence closure's definition, there exists  $c(R_1) \subseteq c(R_2)$ .

# 2 Quotinet operator and completeness of granularities

In the quotient space model (X, f, T), it is assumed the universe structure is an algebra instead of a topology. Although an algebra may include more than one operator among the universe, here it is assumed there is only one binary operator  $\circ$ . And for simplicity, the attribute function f is not considered. Therefore, an original question can be simply described as an algebra  $(X, \circ)$ , where X is the universe, and  $\circ$  is a binary operator.

By the above knowledge in Section 1, the existing condition of quotient operator can be discussed much easier. An equivalence relation on algebra  $(X, \circ)$  matches one partition of X, and from the viewpoint of granular computing it matches a granularity. Then there exists a problem whether we can deduce a new algebraic structure on new granularity X/R, that is, whether an algebraic operator  $\circ'$  which keeps new algebra (X/R),  $\circ$  ') homomorphic to original algebra  $(X, \circ)$  can be defined in Ref. [21]. The core requirement of granular computing is to get the new granularity in the case of keeping new and original structure homomorphic, because firstly it can greatly reduce the problem scale, and secondly it makes the new structure inherit some important properties of the original structure and is helpful to the computing and reasoning work in the new structure. So, it is key to research the existing conditions of quotient operator and algebraic quotient space.

**Definition 3** Let R be an equivalence relation on algebra  $(X, \circ)$ , and  $p: X \to X/R$  be a natural mapping. On quotient space X/R, if there exists an operator  $\circ'$  which keeps p a homomorphic mapping, then  $\circ'$  is defined as a quotient operator of X/R. Here homomorphic mapping p means, for  $\forall x, y \in X$ ,  $p(x \circ y) = p(x) \circ 'p(y)$ .

In Definition 3, there is an one-to-one correspondence between quotient operator  $\circ'$  and algebraic quotient space  $(X/R, \circ')$ , that is, there exists a quotient operator  $\circ'$  if and only if there exists an algebraic quotient space  $(X/R, \circ')$ .

**Theorem 6** Let R be an equivalence relation on algebra  $(X, \circ)$ , there exists quotient operator  $\circ'$  on X/R if and only if c(R) = R.

Proof: By Theorem 4, the conclusion of Theorem 6 means, there exists quotient operator  $\circ'$  on X/R if and only if R is a congruence relation. Now proof begins. On the one hand, let R be a congruence relation, then a quotient operator  $\circ'$  can be defined on X/R, where  $\forall x, y \in X, [x] \circ '[y] = [x \circ y]$ . The definition is well defined, because: R is a congruence relation, and let  $[x_1] = [x_2], [y_1] = [y_2],$  thus  $[x_1 \circ y_1] = [x_2]$  $\circ y_2$ , so  $[x_1] \circ '[y_1] = [x_1 \circ y_1] = [x_2 \circ y_2] = [x_2]$  $\circ$  '[ $y_2$ ], and p is a homomorphic mapping, therefore  $\circ$ ' is a quotient operator. On the other hand, let  $\forall x, y$ ,  $w,z \in X, \lceil x \rceil = \lceil y \rceil, \lceil w \rceil = \lceil z \rceil$ , and  $\circ'$  be a quotient operator on X/R. It is also known p is a homomorphic mapping, so  $[x \circ w] = p(x \circ w) = p(x) \circ 'p(w)$  $= [x] \circ '[w] = [y] \circ '[z] = [y \circ z]$ , therefore, R is a congruence relation. Based on the above analysis, the theorem has been proved.

In the quotient space theory, all the equivalence relations form a complete semi-order lattice. In Theorem 6, the existing condition of quotient operator is that R is a congruence relation, in other words, in the quotient space model based on the algebraic structure a granularity is solely determined by a congruence relation. Then, there exists a problem whether all congruence relations form a complete semi-order lattice. Before discussing it, the partial order of a lattice is first defined in the following.

**Definition 4** Let  $R_1$ ,  $R_2$  be equivalence relations of set X. If  $R_1 \subseteq R_2$ , then a partial order  $R_1 \le R_2$  is defined, and  $R_1$  is called smaller than  $R_2$ .

**Lemma 5**<sup>[18]</sup> Let  $\Re$  be all the equivalence relations on set X, then under the partial order " $\leqslant$ " in Definition 4,  $(\Re, \leqslant)$  is a complete semi-order lattice.

In the quotient space theory, an equivalence relation is used to describe a granularity. Lemma 5 shows the interrelation of different granularities in quotient space theory, it is the basic and most important theorem, and it provides theoretical basis for transformation, composition, decomposition and other operations among different granularities [18,19]. In this paper, we replace the equivalence relation as a congruence relation, and also get similar conclusion as above, that is, all congruence relations form a complete semi-order lattice.

**Theorem 7** Let  $C(\mathfrak{R})$  be all the congruence relations on algebra  $(X, \circ)$ , then under the partial order relation " $\leq$ " in Definition 4,  $(C(\mathfrak{R}), \leq)$  is a complete semi-order lattice.

Proof: Let  $\{R_{\alpha}\}_{\alpha}$  be a subset of C(R) on algebra  $(X, \circ)$ . It is first proved  $\bigcap_{\alpha} R_{\alpha}$  is the greatest lower bound of  $\{R_{\alpha}\}_{\alpha}$ . On the one hand, by Theorem  $2 \cap_{\alpha} R_{\alpha}$  is a congruence relation. Clearly  $\forall \alpha, \bigcap_{\alpha} R_{\alpha} \subseteq R_{\alpha}$ , by Definition 4,  $\forall \alpha, \bigcap_{\alpha} R_{\alpha} \leqslant R_{\alpha}$ , so  $\bigcap_{\alpha} R_{\alpha}$  is one lower bound of  $\{R_{\alpha}\}_{\alpha}$ . On the other hand, let R' be any lower bound of  $\{R_{\alpha}\}_{\alpha}$ , then by Definition  $4 \forall \alpha, R' \leqslant R_{\alpha}$ , that is,  $\forall \alpha, R' \subseteq R_{\alpha}$ , so  $R' \subseteq \bigcap_{\alpha} R_{\alpha}$  and  $R' \leqslant \bigcap_{\alpha} R_{\alpha}$ . Therefore  $\bigcap_{\alpha} R_{\alpha}$  is the greatest lower bound of  $\{R_{\alpha}\}_{\alpha}$ , and sign inf  $\{R_{\alpha}\}_{\alpha} = \bigcap_{\alpha} R_{\alpha}$ .

Then  $t(\ \cup_{\alpha}R_{\alpha})$  is proved to be the least upper bound of  $\{R_{\alpha}\}_{\alpha}$ . On the one hand, clearly  $\forall \alpha, R_{\alpha} \subseteq \cup_{\alpha}R_{\alpha} \subseteq t(\ \cup_{\alpha}R_{\alpha})$ , and by Theorem 3  $t(\ \cup_{\alpha}R_{\alpha})$  is a congruence relation, so by Definition 4  $\forall \alpha, R_{\alpha} \leq t(\ \cup_{\alpha}R_{\alpha})$ , therefore  $t(\ \cup_{\alpha}R_{\alpha})$  is one upper bound of  $\{R_{\alpha}\}_{\alpha}$ . On the other hand, let R' be any upper bound of  $\{R_{\alpha}\}_{\alpha}$ , thus  $\forall \alpha, R_{\alpha} \leq R'$ , that is,  $\forall \alpha, R_{\alpha} \subseteq R'$ , so  $\cup_{\alpha}R_{\alpha} \subseteq R'$ . Clearly R' is transitive, and by the minimality of transitive closure's definition, there exists  $t(\ \cup_{\alpha}R_{\alpha})\subseteq R'$ , that is,  $t(\ \cup_{\alpha}R_{\alpha})\leq R'$ , therefore  $t(\ \cup_{\alpha}R_{\alpha})$  is the least upper bound of  $\{R_{\alpha}\}_{\alpha}$ , and sign  $\sup\{R_{\alpha}\}_{\alpha}=t(\ \cup_{\alpha}R_{\alpha})$ . Based on the above analysis,  $t(\ \cup_{\alpha}R_{\alpha})$  is a complete semi-order lattice.

In the quotient space theory, different equivalence relation corresponds to different granularity, the least upper bound and greatest lower bound of equivalence relation are also an equivalence relation, and all equivalence relations form a complete semi-order lattice<sup>[18,19]</sup>. In the quotient space model based on algebraic structure in this paper, the least upper bound and greatest lower bound of congruence relation uniquely exist, and are also an congruence relation, on which there also exists a quotient operator. Therefore, different granularities determined by congruence relations also form a complete semi-order lattice.

Definition 3 shows that the quotient space  $(X/R, \circ')$  and original space  $(X, \circ)$  are homomorphic if there exists quotient operator  $\circ'$ . Then the following is got: If question  $a \circ x = b$  has a solution, question  $\lceil a \rceil$ 

 $\circ$  ' $[x] = [a \circ x] = [b]$  has a solution, thus question  $[a] \circ$  '[x] = [b] has a solution. Conversely, if question  $[a] \circ$  '[x] = [b] has no solution, that is to say,  $[a] \circ$  ' $[x] = [a \circ x] \neq [b]$ , then certainly  $a \circ x = b$  has no solution. These show that the falsity preserving principle is still valid in the quotient space based on the algebraic structure.

# 3 Definition, existence and properties of upper/lower quotient

On algebra  $(X, \circ)$ , there exists quotient operator o' on quotient space  $X_1 = X/R$  if and only if R is a congruence relation. But not every equivalence relation R is a congruence relation, therefore, not every quotient space  $X_1$  on algebra  $(X, \circ)$  has quotient operator  $\circ'$ . If quotient space  $X_1$  does not have a quotient operator, there exists a question whether it can give an approximate quotient space which has a quotient operator. Obviously, there are two kinds of approximate methods: Try to find a smallest quotient space X among all the quotient spaces which are larger than  $X_1$ ; Try to find a largest quotient space X among all the quotient spaces which are smaller than  $X_1$ . If there exists such an approximate tuple (X,X), then it can be used to approximately describe quotient space  $X_1$ . It can be proved that the tuple (X,X) must exists and is unique. On universe X, there is an one-to-one correspondence between equivalence relation and partition, so it can be proved the existence of tuple (X,X) from the viewpoint of equivalence relation. In the following the concepts of upper quotient and lower quotient are first defined, based on which then the existence of tuple (X, X) is discussed.

From the above analysis, clearly quotient space  $\overline{X}$  is the antithesis of quotient space  $\underline{X}$ . By Definition 4 the congruence closure c(R) of equivalence relation R on algebra  $(X, \circ)$  is the finest grained one of the congruence relations which are coarser than R, that is, c(R) is the smallest one of the congruence relations which are larger than R. Inspired by this, the largest one of the congruence relations can be found which are smaller than R in antithesis. The definitions are given below.

**Definition 5** Let R be an equivalence relation on algebra  $(X, \circ)$ . If there exists a congruence relation  $R \ge R(\underline{R} \le R)$ , and for any congruence relation  $\underline{R}' \ge R(R' \le R)$ , there exists  $R' \ge R(R' \le R)$ , then  $R(\underline{R})$  is defined as the upper (lower) congruence of R. It can be easily proved that there exists a quotient operator on quotient space X/R(X/R), then X/R(X/R) is defined as the upper (lower) quotient of X/R, and is

signed  $\overline{X}(X)$  for short.

In Definition 5, the upper congruence R and lower congruence R are also congruence relations. By Theorem 4 and 6, they also have quotient operators. Proof is omitted. Obviously, congruence closure and upper congruence are the same concept.

In fact, the upper congruence R is the smallest one of the congruence relations which are larger than equivalence relation R, and the lower congruence  $\underline{R}$  is the largest one of the congruence relations which are smaller than equivalence relation R. It can be proved that there must exist the upper congruence  $\overline{R}$  and the lower congruence  $\underline{R}$  of any equivalence relation R on algebra  $(X, \circ)$ . The following theorem shows it.

**Theorem 8** There must exist the upper congruence  $\overline{R}$  and the lower congruence  $\underline{R}$  of any equivalence relation R on algebra  $(X, \circ)$ , and  $\overline{R} = c(R) = \bigcap_{R \subseteq R_{\alpha} \in C(\mathfrak{R})} R_{\alpha}$ ,  $\underline{R} = t(\bigcup_{R_{\alpha} \in C(\mathfrak{R}), R_{\alpha} \subseteq R} R_{\alpha})$ .

Proof: By Definition 2 and 5, and by Lemma 4, one can easily get  $R = c(R) = \bigcap_{R \subseteq R_{\alpha} \in C(\Re)} R_{\alpha}$ . On the other hand, let  $\{R_{\alpha}\}_{\alpha}$  be  $\{R_{\alpha} \mid R_{\alpha} \in C(R) \land R_{\alpha} \le R\}$ , by the proof of Theorem 7 sup  $\{R_{\alpha}\}_{\alpha} = t(\bigcup_{\alpha} R_{\alpha})$ , and by Theorem 3  $t(\bigcup_{\alpha} R_{\alpha})$  is a congruence relation, then by Theorem 4  $c(t(\bigcup_{\alpha} R_{\alpha})) = t(\bigcup_{\alpha} R_{\alpha})$ , therefore, by Definition 6  $R = t(\bigcup_{R_{\alpha} \in C(R), R_{\alpha} \subseteq R} R_{\alpha})$ .

By Theorem 4, 6 and 7, it's easy to get the following two conclusions. Proof is omitted.

**Lemma 6** Let R be an equivalence relation on algebra  $(X, \, \underline{\circ})$ , R is a congruence relation if and only if  $R = R = \overline{R}$ .

**Lemma 7** Let R be an equivalence relation on algebra  $(X, \circ)$ , there is a quotient operator on X/R if and only if  $R = R = \overline{R}$ .

The definition and properties of upper/lower congruence and upper/lower quotient are present above. Then, let R be an equivalence relation of algebra  $(X, \circ)$ , if there doesn't exist a quotient operator on the quotient space X/R, it can define a pair of congruence operators— $(\overline{R}, \overline{R})$  and a pair of quotient operators— $(\overline{X}, \overline{X})$  in antithesis.  $(\overline{X}, \overline{X})$  can be considered as a pair of approximate operators on granularity X/R, thus, it can be used to approximately describe the quotient space X/R. This provides theoretical basis for using the idea of granular computing to approximately solve problems with algebra structure.

Some important properties of the above operators—upper/lower congruence and upper/lower quotient are showed below.

**Theorem 9** Let  $R_1$ ,  $R_2$  be two equivalence relations on algebra  $(X, \circ)$ , and  $R_1 \leq R_2$ , then there ex-

ists: 1)  $\overline{R_1} \leqslant \overline{R_2}$ ; 2)  $R_1 \leqslant R_2$ .

Proof: 1)  $R_1 \leqslant R_2$ , thus by Definition  $4\,R_1 \subseteq R_2$ , then by Theorem  $5\,c(R_1) \subseteq c(R_2)$ . And by Theorem  $8\,\overline{R_1} = c(R_1)$ ,  $\overline{R_2} = c(R_2)$ , so  $\overline{R_1} = c(R_1) \subseteq c(R_2)$  =  $\overline{R_2}$ , therefore  $\overline{R_1} \leqslant \overline{R_2}$ .

2) By Theorem 8 clearly  $\underline{R_1} = t(\bigcup_{R_\alpha \in C(\mathfrak{R}), R_\alpha \subseteq R_1} R_\alpha) \subseteq t(R_1) = R_1$ , and  $R_1 \subseteq R_2$ , thus  $\underline{R_1} \leqslant R_2$ . By the maximality of lower congruence's definition,  $\underline{R_2}$  is the largest one of the congruence relations which is smaller than  $R_2$ , and by Theorem 3  $\underline{R_1}$  is a congruence relation, therefore  $R_1 \leqslant R_2$ .

Theorem 9 shows that the upper /lower congruence operator possesses isotonicity, that is, if a quotient space is fine, its upper/lower quotient space is fine; if a quotient space is coarse, its upper/lower quotient space is coarse. All the quotient spaces on algebra  $(X, \circ)$  form a complete lattice, on which a pair of lattice operators can be defined. In the following it gives out the definitions of the lattice operators, and discusses some important properties of the upper/lower quotient operator. These properties provide a mathematical foundation for further study on conversion and structure characters of granularities.

**Definition 6** Let Q(X) be all the quotient spaces on algebra  $(X, \circ)$ ,  $X_1$ ,  $X_2 \in Q(X)$ , and  $R_1$ ,  $R_2$  be the equivalence relations of  $X_1$ ,  $X_2$  accordingly. Then  $X_1 \wedge X_2$  is defined as the greatest lower bound of  $X_1$  and  $X_2$ , and  $X_1 \vee X_2$  is defined as the least upper bound of  $X_1$  and  $X_2$ .

From the viewpoint of operators, the upper congruence operator and lower congruence operator of  $R_1$  are  $\overline{R_1}$ ,  $\underline{R_1}$ , the upper quotient operator and lower quotient operator of  $X_1$  are  $\overline{X_1}$ ,  $\underline{X_1}$ , and the lattice operators of  $X_1$ ,  $X_2$  on Q(X) are  $X_1$ ,  $\overline{X_2}$  and  $X_1$ ,  $\overline{X_2}$ .

From the viewpoint of equivalence relation, the equivalence relation of  $\overline{X_1}$  is  $\overline{R_1} = c(R_1)$  =  $\bigcap_{R_1 \subseteq R_\alpha \in C(\mathfrak{R})} R_\alpha$ , the equivalence relation of  $\overline{X_1}$  is  $\overline{R_1} = t(\bigcup_{R_\alpha \in C(\mathfrak{R}), R_\alpha \subseteq R_1} R_\alpha)$ , the equivalence relation of  $\overline{X_1}$   $\land X_2$  is  $R_1 \cap R_2$ , and the equivalence relation of  $X_1 \lor X_2$  is  $t(R_1 \cup R_2)$ .

From the viewpoint of partition,  $X_1 \wedge X_2$  is the intersection of  $X_1$ ,  $X_2$ , and  $X_1 \vee X_2$  is the union of  $X_1$ ,  $X_2$ .

**Theorem 10** Let  $X_1$ ,  $X_2$  be two quotient spaces on algebra  $(X, \circ)$ , then:

- 1)  $\overline{\overline{X_1}} = \overline{X_1}, \underline{X_1} = \overline{X_1}, 2) \overline{X_1 \wedge X_2} \leq \overline{X_1} \wedge \overline{X_2},$
- 3)  $\overline{X_1} \lor \overline{X_2} \leqslant \overline{X_1} \lor \overline{X_2}$ , 4)  $\overline{X_1} \land \overline{X_2} \leqslant \overline{X_1} \land \overline{X_2}$ 
  - 5)  $\underline{X_1} \vee \underline{X_2} \leqslant \underline{X_1} \vee \underline{X_2}$ ,

Proof: Let  $R_1$ ,  $R_2$  be the equivalence relations of  $X_1$ ,  $X_2$  accordingly, and C(R) be all the congruence relations on algebra  $(X, \circ)$ .

- 1) The equivalence relation of  $\overline{X_1}$  is  $\overline{R_1}$ , and by Theorem 7  $\overline{R_1}$  is a congruence relation, so by Theorem 8 and Lemma 6  $\overline{\overline{R_1}} = \overline{R_1}$ , therefore  $\overline{\overline{X_1}} = \overline{X_1}$ . Similarly,  $\underline{X_1} = \overline{X_1}$ .
- 2) The equivalence relation of  $\overline{X_1 \ \land X_2}$  is  $c(R_1 \cap R_2)$ , and the equivalence relation of  $\overline{X_1} \ \land \overline{X_2}$  is  $c(R_1) \cap c(R_2)$ , so proposition  $\overline{X_1} \land X_2 \leqslant \overline{X_1} \land \overline{X_2}$  equals to  $c(R_1 \cap R_2) \subseteq c(R_1) \cap c(R_2)$ . Now proof starts. Clearly  $R_1 \cap R_2 \subseteq R_1$ ,  $R_1 \cap R_2 \subseteq R_2$ , and by Theorem 5  $c(R_1 \cap R_2) \subseteq c(R_1)$ ,  $c(R_1 \cap R_2) \subseteq c(R_2)$ , thus  $c(R_1 \cap R_2) \subseteq c(R_1) \cap c(R_2)$ , therefore  $\overline{X_1} \land \overline{X_2} \leqslant \overline{X_1} \land \overline{X_2}$ .
- 3) The equivalence relation of  $\overline{X_1} \vee \overline{X_2}$ is  $t(c(R_1) \cup c(R_2))$ , the equivalence relation of  $X_1$  $\bigvee X_2$  is  $t(R_1 \cup R_2)$ , and the equivalence relation of  $X_1 \ \lor \ X_2 \text{ is } c(t(R_1 \cup R_2))$ , so proposition  $X_1 \ \lor \ X_2 \leqslant$  $X_1 \lor X_2$  equals to  $t(c(R_1) \cup (R_2)) \le c(t(R_1 \cup R_2))$  $R_2$ ). Now proof starts. Clearly  $R_1 \subseteq t(R_1 \cup R_2)$ ,  $R_2$  $\subseteq t(R_1 \cup R_2)$ , by Theorem 5  $c(R_1) \subseteq c(t(R_1 \cup R_2))$  $(R_2)$ ),  $c(R_2) \subseteq c(t(R_1 \cup R_2))$ , so  $c(R_1) \cup c(R_2)$  $\subseteq c(t(R_1 \cup R_2))$ . Clearly  $c(t(R_1 \cup R_2))$  is a congruence relation and is also an equivalence relation, so it is transitive. By the minimality of transitive closure' s definition, transitive closure  $t(c(R_1) \cup c(R_2))$  is the smallest one of binary relations which are transitive and include  $c(R_1) \cup c(R_2)$ , so  $t(c(R_1) \cup c(R_2))$  $\subseteq c(t(R_1 \cup R_2))$ , that is  $t(c(R_1) \cup c(R_2)) \le$  $c(t(R_1 \cup R_2))$ , therefore  $\overline{X_1} \vee \overline{X_2} \leqslant \overline{X_1 \vee X_2}$ .
- 4) It first proves proposition  $\bigcup_{R_{\gamma} \in C(\mathfrak{M}), R_{\gamma} \subseteq R_{1} \cap R_{2}} R_{\gamma}$   $\subseteq (\bigcup_{R_{\alpha} \in C(\mathfrak{M}), R_{\alpha} \subseteq R_{1}} R_{\alpha}) \cap (\bigcup_{R_{\beta} \in C(\mathfrak{M}), R_{\beta} \subseteq R_{2}} R_{\beta})$ . For  $\forall (x,y) \in \bigcup_{R_{\gamma} \in C(\mathfrak{M}), R_{\gamma} \subseteq R_{1} \cap R_{2}} R_{\gamma}$ , there  $\exists R_{\lambda_{0}}(R_{\lambda_{0}} \in C(\mathfrak{M}), R_{\lambda_{0}} \subseteq R_{1} \cap R_{2})$ , where  $(x,y) \in R_{\lambda_{0}}$ . Because  $(x,y) \in R_{\lambda_{0}} \subseteq R_{1} \cap R_{2}$ , there exists  $(x,y) \in R_{\lambda_{0}} \subseteq R_{1}$  and  $(x,y) \in R_{\lambda_{0}} \subseteq R_{2}$ , thus  $(x,y) \in U_{R_{\alpha} \in C(\mathfrak{M}), R_{\alpha} \subseteq R_{1}} R_{\alpha}}$  and  $(x,y) \in U_{R_{\beta} \in C(\mathfrak{M}), R_{\beta} \subseteq R_{2}} R_{\beta}}$ , so  $(x,y) \in (U_{R_{\alpha} \in C(\mathfrak{M}), R_{\beta} \subseteq R_{2}} R_{\beta})$ . Therefore  $U_{R_{\gamma} \in C(\mathfrak{M}), R_{\gamma} \subseteq R_{1} \cap R_{2}} R_{\gamma}$   $\subseteq (U_{R_{\alpha} \in C(\mathfrak{M}), R_{\beta} \subseteq R_{2}} R_{\beta})$ .

Then proposition  $t(A\cap B)\subseteq t(A)\cap t(B)$  can be proved, where A,B are binary relations. Clearly  $A\cap B\subseteq A$ ,  $A\cap B\subseteq B$ , thus  $t(A\cap B)\subseteq t(A)$ ,  $t(A\cap B)\subseteq t(B)$ , so  $t(A\cap B)\subseteq t(A)\cap t(B)$ . Now let  $A=\bigcup_{R_{\alpha}\in C(\mathfrak{M}),R_{\alpha}\subseteq R_1}R_{\alpha}$ ,  $B=\bigcup_{R_{\beta}\in C(\mathfrak{M}),R_{\beta}\subseteq R_2}R_{\beta}$ , based on the above proposition there exists  $t((\bigcup_{R_{\alpha}\in C(\mathfrak{M}),R_{\alpha}\subseteq R_1}R_{\alpha})\cap (\bigcup_{R_{\beta}\in C(\mathfrak{M}),R_{\beta}\subseteq R_2}R_{\beta}))\subseteq$ 

$$t(\cup_{R_{\alpha}\in C(\mathfrak{M}), R_{\alpha}\subseteq R_{1}}R_{\alpha})\cap t(\cup_{R_{\beta}\in C(\mathfrak{M}), R_{\beta}\subseteq R_{2}}R_{\beta}).$$

Having the above two conclusions, the original theorem now can be proved. The equivalence relation of  $X_1 \wedge X_2$  is  $R_1 \cap R_2$ , and the equivalence relation of  $X_1 \wedge X_2$  is  $R_1 \cap R_2$ , so proposition  $X_1 \wedge X_2 \leqslant X_1 \wedge X_2$  equals to  $R_1 \cap R_2 \subseteq R_1 \cap R_2$ . By Theorem 3. 1 and the above two conclusions,  $R_1 \cap R_2 = t(\bigcup_{R_{\gamma} \in C(\mathfrak{R}), R_{\gamma} \subseteq R_1 \cap R_2} R_{\gamma}) \subseteq t((\bigcup_{R_{\alpha} \in C(\mathfrak{R}), R_{\alpha} \subseteq R_1} R_{\alpha}) \cap (\bigcup_{R_{\beta} \in C(\mathfrak{R}), R_{\beta} \subseteq R_2} R_{\beta})) \subseteq t(\bigcup_{R_{\alpha} \in C(\mathfrak{R}), R_{\alpha} \subseteq R_1} R_{\alpha}) \cap t(\bigcup_{R_{\beta} \in C(\mathfrak{R}), R_{\beta} \subseteq R_2} R_{\beta}) = \underline{R_1} \cap \underline{R_2}$ , therefore  $\underline{X_1} \wedge X_2 \leqslant X_1 \wedge X_2$ .

5) Similar to the proof of  $\underline{X_1 \ \land \ X_2} \leqslant \underline{X_1} \ \land \ \underline{X_2}$  above,  $\underline{X_1} \ \lor \ \underline{X_2} \leqslant \underline{X_1} \ \lor \ \underline{X_2}$  can be proved and the proof is omitted.

On algebra  $(X, \circ)$ , let  $X_1, X_2$  be two quotient spaces on which there exist quotient operators, and  $R_1$ ,  $R_2$  be the equivalence relations of  $X_1$ ,  $X_2$  accordingly. Thus on the compositive quotient space  $X_3 = X_1 \wedge X_2$ there also exists quotient operator, and the corresponding equivalence relation of  $X_3$  is  $R_1 \cap R_2$ . Then, if both question  $[a]_1 \circ_1 [x]_1 = [b]_1$  and question  $[a]_2$  $\circ_2[x]_2 = [b]_2$  have a solution, question  $[a]_3 \circ_3[x]_3$  $= [a \circ x]_3 = [a \circ x]_1 \cap [a \circ x]_2 = [b]_1 \cap [b]_2 =$  $[b]_3$  is known, therefore  $X_3 = X_1 \wedge X_2$  also has a solution. From the above analysis, if a question has a solution on quotient space  $X_1$ ,  $X_2$ , then it also has a solution on the compositive quotient space  $X_3$  of  $X_1, X_2$ . These show that the truth preserving principle is still valid in the quotient space model based on the algebraic structure.

## 4 Algorithm of upper/lower quotient

Theorem 8, Definition 5 and Definition 6 show that there must exist upper quotient  $\overline{X}$  and lower quotient  $\overline{X}$  of a quotient space X/R, but which can't be directly calculated by the formulas in Theorem 8 for the difficulty to get all the congruence relations of original space, so it is necessary to further research on the algorithm of upper/lower quotient. Because the algorithm of upper/lower quotient is very complicated when the universe is an infinite set which can't be processed in a computer. It is assumed the universe is finite in the following.

Because there is a one-to-one correspondence between equivalence relation and partition, the upper/ quotient can be calculated by operation on either relation or partition. And upper quotient is the antithesis of lower quotient in definition, therefore it only discusses the algorithm of upper quotient based on the union of blocks and the algorithm of lower quotient based on iteration of relations.

## 4. 1 The algorithm of upper quotient based on union of blocks

Based on the set theory and related knowledge, every equivalence relation corresponds and only corresponds to one partition. And a congruence relation is also an equivalence one, so it can get a partition of universe *X* by a congruence relation too. Then the according equivalence partition is called a congruence partition, and the corresponding equivalence block is called a congruence block.

**Definition 7** Let  $(X, \circ)$  be an algebra,  $A, B \subseteq X$ , then  $A \circ B = \{x \circ y \mid x \in A, y \in B\}$  is defined as a product of A and B.

Let R be an equivalence relation on algebra  $(X, \circ)$ , F be partition of R, and A,  $B \in X/R$ . If R is a congruence relation, product  $A \circ B$  will belong to only one block of F. Hence, if  $A \circ B$  don't belong to only one block of F, that is, more than one block of X/R is intersectant with  $A \circ B$ , then partition F should be modified by merging all the blocks intersectant with  $A \circ B$  so as to let only one block of upper congruence R include  $A \circ B$ . By Lemma 1, universal equivalence relation E is a congruence relation, that is, the set X is a congruence partition, so the algorithm can be completed in finite steps. Based on the above analysis, the pseudocode of the upper quotient Algorithm 1 is got as follows.

 $\begin{tabular}{ll} \textbf{Algorithm 1} & \textbf{The algorithm of upper quotient in a finite universe} \end{tabular}$ 

Input: algebra  $(X, \circ)$ , equivalence relation R

```
Output: upper quotient X.
Program:
L1, F = X/R = \{A_1, A_2, \dots, A_m\};
         if |F| = 1 then jump to L12;
L2,
L3,
        for i \leftarrow 1 to \mid F \mid
           for j \leftarrow 1 to |F|
L4,
L5,
               B \leftarrow A_i \circ A_i;
              for k \leftarrow 1 to |F|
L6.
L7,
              if B \cap A_k \neq \emptyset then put k into subscript set I;
L8,
              if |I| \ge 2 then do
L9,
               M \leftarrow \bigcup_{i \in I} A_i;
                 F \leftarrow (F - \{A_i \mid i \in I\}) \cup M;
L10,
L11,
                Jump to L2;
L12, upper quotient \overline{X} = F = X/R = \{A_1, A_2, \dots, A_t\},
```

 $0 \leq t \leq m$ ;

Let |X| = n, |F| = |X/R| = m. If consider the time consumption of conversion between equivalence relation and partition in L1 is not considered, the main time consumption of Algorithm 1 is in L5 and L7, because the total time consumption of L3 to L5 is  $\sum_{i=1}^{\infty} \sum_{j=1}^{\infty}$  $|A_i| |A_j| = |X|^2 = n^2$  by Definition 7, and O(L6)=  $O(m) \ge O(L9)$  = O(L10). If R is a universal equivalence relation E, L3 takes 0 times, and the time complexity of Algorithm 1 is O(1). Otherwise, when the algorithm is at the best, that is, R is a congruence relation, L3 takes only 1 time, and the time complexity of L5 and L7 is  $O(n^2 + m^3)$ , therefore the time complexity of Algorithm 1 is  $O(n^2 + m^3)$ . When the algorithm is at the worst, L2 and L3 take O(m) times, the time complexity of L5 and L7 is  $mn^2 + (m^3 + (m-1)^3)$  $+\cdots+2^{3}$ ) =  $mn^{2}+(m^{2}(m+1)^{2}/4)-1=O(mn^{2}$ 

Similar to the algorithm of upper quotient based on the union of blocks, it can design an algorithm of the lower quotient. By Definitions 5, upper quotient  $\overline{X}$  is coarser than quotient space X/R, so the algorithm of upper quotient by merging blocks continuously is designed. Then by Definitions 6, lower quotient X is finer than X/R, so the algorithm of lower quotient by dividing block accordingly is designed, and the algorithm of lower quotient is also different from the algorithm of upper quotient in detailed program and time complexity. But the analysis is similar, and the algorithm of lower quotient is omitted.

 $+ m^4$ ), therefore the time complexity of Algorithm 1 is

 $O(mn^2 + m^4)$ .

## 4.2 The algorithm of lower quotient based on iteration of relations

**Definition 8** Let  $R_1$ ,  $R_2$  be two equivalence relations of set X, then an iterative formula K using in the algorithm of lower quotient is defined as follows:  $\forall x$ ,  $y \in X$ ,  $(x, y) \in R_2 \Leftrightarrow (x, y) \in R_1 \land (\forall z \in X, (x \circ z, y \circ z), (z \circ x, z \circ y) \in R_1).$ 

In the algorithm of lower quotient based on iteration of relations, the basic idea is as follows. Let R be an equivalence relation on algebra  $(X, \circ)$ ,  $\{R_1, R_2, \cdots, R_i, \cdots\}$  be a sequence of equivalence relations, and initializing the sequence  $R_1 = R$ . The sequence is altered continuously by iterative formula  $R_{i+1} = K(R_i)$  where K is from Definition 8. Because X is a finite set, there must have a minimal positive integer n which makes  $R_{n+1} = R_n$ , then  $R_n$  is the lower congruence R of R, and R is the lower quotient R of R. The pseudo-code of Algorithm 2 is as follows.

**Algorithm 2** The algorithm of lower quotient in a finite universe

```
Input: algebra (X, \circ), equivalence relation R
Output: lower quotient X.
Program:
L1,
         R_1 \leftarrow R;
         R, \leftarrow \emptyset;
L2,
L3,
       for each (x,y) \in R_1
           isElement \leftarrow TRUE:
L4,
          for each z \in X
L5,
L6,
          if (x \circ z, y \circ z) \notin R_1 or (z \circ x, z \circ y) \notin R_1 then do
           isElement \leftarrow FALSE; break;
L7,
          if isElement = TRUE then do
L8,
L9,
              R_2 \cup (x,y);
L10, if R_2 \neq R_1 then do
L11,
             R_2 \leftarrow R_1; jump to L2;
```

Let |R| = n, and L2-L11 is mainly considered. If R is an identity equivalence relation, L3 takes 1 time, L8 and L10 takes 0 times, and O(L3) = O(n), then the time complexity of algorithm is  $O(n^2)$ . Otherwise, R is a binary relation, then the number of elements in R is  $O(n^2)$ , that is,  $O(L3) = O(n^2)$ . When the algorithm is at the best, that is, R is a congruence relation, L3 takes only 1 time, and the time complexity of Algorithm 2 is  $O(n^3)$ . When the algorithm is at the worst, L2 and L3 take O(n) times, and similar to Algorithm 1 the time complexities of Algorithm 2 is  $n^3 + (n-1)^3 + \cdots + 1^3 = n^2(n+1)^2/4 = O(n^4)$ .

lower quotient  $X = X/R_2$ ;

L12,

Similar to the algorithm of lower quotient based on iteration of relations, an algorithm of upper quotient can be also designed, but there are two differences between them in the following: The iterative formula is different. After getting the minimal positive integer n which makes  $R_{n+1} = R_n$ ,  $R_n$  must be converted into an equivalence relation, that is, the transitive closure  $t(R_n)$  of  $R_n$  should be got, then  $t(R_n)$  is the upper congruence relation. Of the upper quotient algorithm, the iterative formula is showed in the following, and the other analysis is omitted.

**Definition 9** Let  $R_1$ ,  $R_2$  be two binary relations of set X, then an iterative formula L using in the algorithm of lower quotient is defined as follows:  $\forall x, y \in X$ ,  $(x, y) \in R_2 \Leftrightarrow (x, y) \in R_1 \lor (\exists (x_1, y_1), (x_2, y_2) \in R_1, x = x_1 \circ x_2, y = y_1 \circ y_2).$ 

### 4.3 The comparison of two algorithms

**Example 1** Suppose R shown in Table 1 is an equivalence relation of algebra  $(X, \circ)$  shown in Table 2, where  $X = \{0, 1, 2, 3, 4, 5, 6, 7\}$ , and binary algebraic operation  $x \circ y$  means  $(x \times y) \mod 8$ . It can be proved that R is not a congruence relation, then by Algorithm 1 the upper quotient of X/R is  $\overline{X} = \{\{0, 2, 4, 6\}, \{1, 5\}, \{3, 7\}\}$ , and by Algorithm 2 the lower quotient of X/R is  $X = \{\{0\}, \{1, 5\}, \{2\}, \{3, 7\}, \{4\}, \{6\}\}$ .

Table 1 Equivalence relation R											
R	0	1	2	3	4	5	6	7			
0	$\sqrt{}$	×	$\sqrt{}$	×	×	×	×	×			
1	×	$\sqrt{}$	×	×	×	$\sqrt{}$	×	×			
2	$\sqrt{}$	×	$\sqrt{}$	×	×	×	×	×			
3	×	×	×	$\sqrt{}$	×	×	×	$\sqrt{}$			
4	×	×	×	×	$\sqrt{}$	×	$\sqrt{}$	×			
5	×	$\sqrt{}$	×	×	×	$\sqrt{}$	×	×			
6	×	×	×	×	$\sqrt{}$	×	$\sqrt{}$	×			
7	×	×	×	$\sqrt{}$	×	×	×	$\checkmark$			

		Table 2		Algebra $(X, \circ)$				
0	0	1	2	3	4	5	6	7
0	0	0	0	0	0	0	0	0
1	0	1	2	3	4	5	6	7
2	0	2	4	6	0	2	4	6
3	0	3	6	1	4	7	2	5
4	0	4	0	4	0	4	0	4
5	0	5	2	7	4	1	6	3
6	0	6	4	2	0	6	4	2
7	0	7	6	5	4	3	2	1

There is a one-to-one correspondence between equivalence relation and partition which are interconvertible. An upper (or lower) congruence is also an equivalence relation, and an upper (or lower) quotient matches a partition. So, the algorithm of the upper (or lower) quotient can be designed by operation on either relation or partition, since they are inherently consistent. But in the concrete approaches, they are different. In the method of operation on partition, by merging blocks (or dividing a block) continuously, it finally gets the finest (or coarsest) grained one of the congruence partitions which are coarser (or finer) than partition X/R, which is just the upper (or lower) quotient. But in the method of operation on relation, by modifying the equivalence relation iteratively, it obtains the upper (or lower) congruence at last, by which it then gets the upper (or lower) quotient. The main differences of the above two methods can be seen from Algorithm 1, Algorithm 2 and Example 1.

Although the algorithm of upper quotient based on union of partitions can't be directly compared with the algorithm of lower quotient based on iteration of relations, upper quotient is the antithesis of lower quotient in definitions, and the time complexities of upper quotient algorithm and lower quotient algorithm are at the same order of magnitude. Therefore, the quality of the above two methods (operation on relation or partition) can be compared by comparing Algorithm 1 and Algorithm 2. While being  $O(n^2 + m^3)$  at the best, the time complexity of Algorithm 1 is  $O(mn^2 + m^4)$  at the worst. But in Algorithm 2, the best time complexity is  $O(n^3)$ , and the worst time complexity is  $O(n^4)$ . In the above, |X| = n, which means n is the number of elements in universe X, |X/R| = m, which means mis the number of blocks in equivalence partition X/R, and  $m \le n$ . Thus, when  $m \approx n$ , which means m = nO(n), the best time complexity of Algorithm 1 is  $O(m^3)$ , the worst time complexity of Algorithm 1 is  $O(m^4)$ , and clearly the method of operation on blocks is similar to the method of operation on relations in the time complexity. But, if  $m \le n$  and  $m^3 < n^2$ , then the best time complexity of Algorithm 1 is  $O(n^2)$ , the worst time complexity of Algorithm 1 is  $O(mn^2)$ , and the method of operation on blocks is much more better than the method of operation on relations in the time complexity.

#### 5 Conclusions

During many granular computing models, the quotient space model constructs granularity by equivalence relation, and different equivalence relation corresponds to different granularity [18,19], so the interrelations of equivalence relations instead of granularities can be discussed, and it is very effective and concise to study the quotient space model based on algebraic structure by equivalence relation. Given an equivalence relation on original algebraic space, one may not necessarily get an algebraic quotient operator on the quotient space, because the universe structure is not taken into account. As to obtain the algebraic quotient operator, the interrelation of elements on universe must be considered, that is, some constraints must be added to equivalence relation. It shows that the universe structure is very important in the quotient space model based on algebraic structure, because it enhances the model's capability of knowledge expression, but it also increases the complexity of problem granularization.

In the quotient space model based on algebraic structure of this paper, a granularity is determined not by an equivalence relation but by a more stronger constraint—a congruence relation. Because all the congruence relations form a complete semi-order lattice, it still remains its completeness of granularities. In addition, the construction process of an algebraic quotient space is a homomorphic mapping, so the falsity preserving principle and truth preserving principle are still valid. These show that the two basic conclusions of quotient space theory introduced in Section 1 are still valid in the quotient space model based on algebraic structure.

The default universe structure T is assumed as a topology in the classic quotient space model proposed in Ref. [18], and it is well known that algebra is a very important and more common universe structure, so in this sence the quotient space model based on algebraic structure proposed in this work has not only extended the existing quotient space models but also provided theoretical foundation for the combination of quotient space theory and algebra theory.

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**Chen Linshu**, born in 1981. He is working for Ph. D degree in School of Information Science and Engineering, Central South University, Changsha, China. His research interest is granular computing and intelligent information processing.